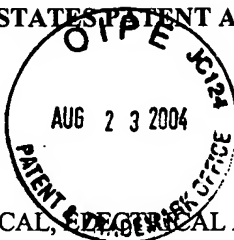


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Reddy et al.

Application No.: 10/820,346

Filed: April 7, 2004



For: PHYSICAL, STRUCTURAL, MECHANICAL, ELECTRICAL AND ELECTROMECHANICAL FEATURES FOR USE IN ASSOCIATION WITH ELECTRICALLY ASSISTED DELIVERY DEVICES AND SYSTEMS

Mail Stop: Missing Parts  
Commissioner for Patents  
P.O. Box: 1450  
Alexandria, VA 22313-1450

**STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO  
IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION**

*NOTE: This form is to be used when the declaration only indicates the name(s) of the inventor(s) and the title of the invention. Notice of September 12, 1983, 1035 O.G. 3.*

I,

Michael D. Lazzara

Name of Practitioner

Kirkpatrick & Lockhart LLP

P.O. Address

Henry W. Oliver Building, 535 Smithfield Street, Pittsburgh, Pennsylvania 15222-2312

Reg. No. 41,142

Tel. No. (412) 355-8994

state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith.

SIGNATURE OF PRACTITIONER

Customer No.: 26285

(Statement by Practitioner That Application Filed in PTO is the One Inventor Executed by Signing Declaration

[5-10])



PTO/SB/96 (08-04)  
Approved for use through 07/31/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Reddy et al.

Application No./Patent No.: 10/820,346 Filed/Issue Date: April 7, 2004

Entitled: PHYSICAL, STRUCTURAL, MECHANICAL, ELECTRICAL AND ELECTROMECHANICAL FEATURES FOR USE IN ASSOCIATION WITH ELECTRICALLY ASSISTED DELIVERY DEVICES AND  
Systems

Vyteris, Inc., a Delaware  
(Name of Assignee) (Type of Assignee; e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8/18/04  
Date  
201-703-2414  
Telephone number

Vincent L. DeCaprio  
Typed or printed name  
[Signature]  
Signature  
President  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/81 (06-04)  
Approved for use through 11/30/2005. OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/820,346
Filing Date	April 7, 2004
First Named Inventor	Vilambi NRK Reddy
Title	Physical, Structural, ....
Art Unit	
Examiner Name	
Attorney Docket Number	030553

I hereby appoint:

☒ Practitioners associated with the Customer Number:

26285

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		Zip	
Country					
Telephone			Fax		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record (if assignee, put name, title and company name in the "Name" space below)**

Name	Vincent L. DeCaprio, President, Vyteris, Inc.				
Signature					
Date	8/18/04		Telephone	201-703-2414	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney's Docket No. 030553

**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☐ PCT Application  
By: Multiple Inventors

### ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNORS (Inventors):

Vilambi NRK Reddy  
(type or print name of inventors)

VinYY Garden (near Sanjeevi Nagar)  
Devadhanam (Madras By Pass Road)  
Trichy 620002  
Tamil/Nadu, India USA  
Address Citizenship

Preston Keusch

50 Brownwood Drive  
Jonesboro, ME 04648 USA

Robert J. Strowe

70 Elbert Street  
Ramsey, NJ 07446 USA

Jeffrey Kapec

248 Wilton Road  
Westport, CT 06880 USA

Kazuna Tanaka

5 Frontier Road  
Cos Cob, CT 06807 Japan

hereby sells, assigns and transfers to

ASSIGNEE:

Vyteris, Inc.  
(type or print name of ASSIGNEE)

13-01 Pollitt Drive  
Address

(a Delaware corporation)  
Nationality

Fair Lawn, NJ 07410

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled: **PHYSICAL, STRUCTURAL, MECHANICAL, ELECTRICAL AND ELECTROMECHANICAL FEATURES FOR USE IN ASSOCIATION WITH ELECTRICALLY ASSISTED DELIVERY DEVICES AND SYSTEMS**

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on \_\_\_\_\_

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: \_\_\_\_\_

Mailed: \_\_\_\_\_

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no.: 10/820,346 filed on April 7, 2004

(e) ☐ International application no. PCT / \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_

(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this \_\_\_\_\_ Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Vilambi NRK Reddy  
(type name of Inventor)

[Signature] Aug 16, 2004  
Signature of INVENTOR Date

Preston Keusch  
(type name of Inventor)

[Signature] Aug 17, 2004  
Signature of INVENTOR Date

Robert J. Strowe  
(type name of Inventor)

[Signature] 8/16/04  
Signature of INVENTOR Date

Jeffrey Kapec  
(type name of Inventor)

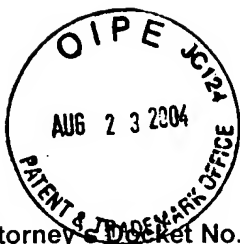
\_\_\_\_\_  
Signature of INVENTOR Date

Kazuna Tanaka  
(type name of Inventor)

\_\_\_\_\_  
Signature of INVENTOR Date

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.



Attorney's Docket No. 030553

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
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By: Multiple Inventors

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#### ASSIGNORS (Inventors):

Vilambi NRK Reddy

(type or print name of inventors)

VinYY Garden (near Sanjeevi Nagar)  
Devadhanam (Madras By Pass Road)  
Trichy 620002

Tamil/Nadu, India

USA

Address

Citizenship

Preston Keusch

50 Brownwood Drive  
Jonesboro, ME 04648

USA

Robert J. Strowe

70 Elbert Street  
Ramsey, NJ 07446

USA

Jeffrey Kapec

248 Wilton Road  
Westport, CT 06880

USA

Kazuna Tanaka

5 Frontier Road  
Cos Cob, CT 06807

Japan

hereby sells, assigns and transfers to

ASSIGNEE:

Vyteris, Inc.  
(type or print name of ASSIGNEE)

13-01 Pollitt Drive  
Address

(a Delaware corporation)  
Nationality

Fair Lawn, NJ 07410

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled: **PHYSICAL, STRUCTURAL, MECHANICAL, ELECTRICAL AND ELECTROMECHANICAL FEATURES FOR USE IN ASSOCIATION WITH ELECTRICALLY ASSISTED DELIVERY DEVICES AND SYSTEMS**

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

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(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

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(e) ☐ International application no. PCT / \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_

(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.



(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this \_\_\_\_\_  
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Vilambi NRK Reddy  
(type name of Inventor)

\_\_\_\_\_  
Signature of INVENTOR Date

Preston Keusch  
(type name of Inventor)

\_\_\_\_\_  
Signature of INVENTOR Date

Robert J. Strowe  
(type name of Inventor)

\_\_\_\_\_  
Signature of INVENTOR Date

Jeffrey Kapec  
(type name of Inventor)

\_\_\_\_\_  
Signature of INVENTOR Date

Kazuna Tanaka  
(type name of Inventor)

\_\_\_\_\_  
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